

## (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property  
Organization  
International Bureau



(43) International Publication Date  
21 May 2004 (21.05.2004)

PCT

(10) International Publication Number  
**WO 2004/042677 A1**

(51) International Patent Classification<sup>7</sup>:

**G09F 3/00**

(74) Agent: MODIANO, Guido; Modiano & Associati, Via Meravigli, 16, I-20123 Milano (IT).

(21) International Application Number:

PCT/EP2003/011823

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(22) International Filing Date: 24 October 2003 (24.10.2003)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:  
VR2002A000112 5 November 2002 (05.11.2002) IT

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(71) Applicants (*for all designated States except US*): RUBERTELLI, Luca [IT/IT]; Via perini, 103, IT-38100 Trento (IT). RUBERTELLI, Ornella [IT/IT]; Via Perini, 103, IT-38100 Trento (IT). RUBERTELLI, Sonia [IT/IT]; Via Perini, 103, IT-38100 Trento (IT). RUBERTELLI, Marco [IT/IT]; Via Perini, 103, IT-38100 Trento (IT).

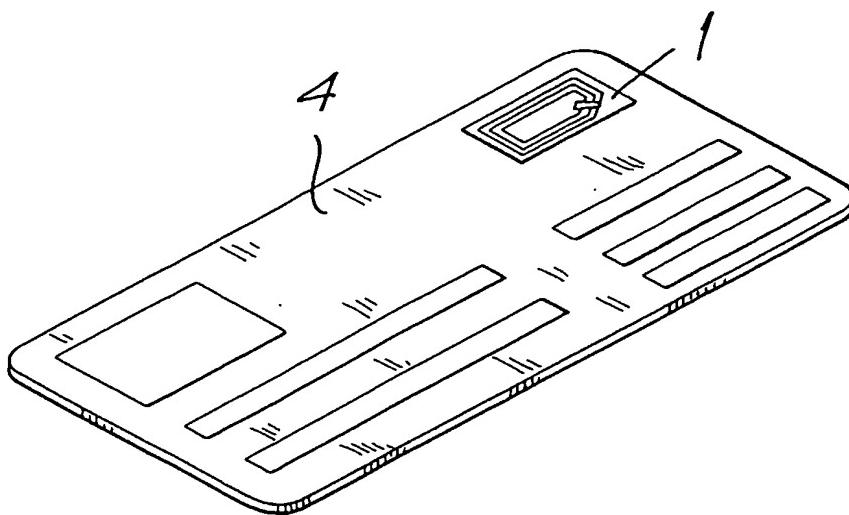
Published:

— with international search report

(71) Applicants and  
(72) Inventors: RUBERTELLI, Michele [IT/IT]; Via Perini, 103, IT-38100 Trento (IT). ROSSI, Marco [IT/IT]; Via Ottaviani Rovereti, 3/1, I-38100 Trento (IT).

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: POSITIVE IDENTIFICATION DEVICE, PARTICULARLY FOR HOSPITAL HEALTH TECHNOLOGY



means into the second memory means.

(57) Abstract: A positive identification device particularly for establishing correctly the correlation between a hospital product or patient to be correlated and at least one hospital product or patient that is correlated to the hospital product or patient to be correlated, comprising first memory means (1), which can be affixed to the hospital product or patient to be correlated and store a predefined unique identification code for the hospital product or patient to be correlated, and second memory means (2), which can be affixed respectively to the correlated hospital product or patient, the device further comprising means for remote transfer of data, adapted to download by remote transmission the content of the first memory

POSITIVE IDENTIFICATION DEVICE, PARTICULARLY FOR  
HOSPITAL HEALTH TECHNOLOGY

Technical Field

The present invention relates to a positive identification device,  
5 particularly for correctly establishing the correlation between a hospital  
product or patient to be correlated and at least one correlated hospital  
product or patient, the device being particularly but not exclusively useful in  
the field of hospital health technology and in particular in the field of blood  
transfusions, preparations of antiblastic drugs, and mixtures for parenteral  
10 nutrition, histological specimen testing, laboratory testing in general and  
obstetrics, as well as in the management of operating rooms.

Background Art

In hospital activity there is the everyday need to correctly assign to a  
patient blood or blood fractions or other products, such as for example  
15 drugs, that are specifically compatible with that patient.

The process for collecting, preparing, assigning and infusing blood or its  
components is very complicated, and therefore the possibility of potentially  
fatal severe human error is considerable (some estimates report one  
incorrect transfusion every 1800 transfusions).

20 Human error is the most frequent cause of fatal hemolytic transfusion  
reactions and can occur in the following cases: when blood is collected from  
the patient in order to determine the patient's blood group, when previously  
prepared blood is distributed, or when blood is infused to the patient.

Errors can also occur in typing donated blood or in labeling blood bags.

25 Similar problems due to mistaken identities or blood samples mix-ups  
can occur in other hospital activities, such as the administration of drugs,  
laboratory tests, delivery rooms (mixed-up newborns), and so forth.

In order to try to solve this problem, various procedures have already  
been introduced which are used exclusively in the transfusion field and can  
30 be performed by means of devices based on various constructive criteria.

Among these, mention can be made of a device that provides for the adoption of a bracelet that is worn by the patient and bears a three-letter alphanumeric code.

Nursing staff copies this identification code of the bracelet onto the test  
5 tubes for compatibility tests and on blood requests, but it is forbidden to copy the code onto the patient's medical record.

Compatible blood units prepared by the transfusion center are individually placed in a pouch that is closed with a plastic lock formed by three concentric wheels of different diameters, each of which bears, on its  
10 outer edge, the letters of the alphabet.

Upon closure, the three wheels are moved out of alignment.

When the pouch is to be infused, the health worker reads the code on the bracelet of the patient and realigns the three wheels so as to reproduce the sequence of the code; at this point the lock opens and the bag becomes  
15 usable.

Although this system is very simple, it does not ensure complete safety, especially if one considers the fact that the three-letter code does not provide assurance of being unique, since the number of possible combinations that can be obtained does not provide absolute assurance that  
20 in the hospital there are no two patients identified by means of the same code.

Furthermore, the system can be rendered ineffective easily as a consequence of inappropriate use.

It is sufficient to consider the fact that nursing staff very often tends to  
25 copy the code not from the bracelet but from the medical record, accordingly introducing possible errors.

Another known system to allow correct identification of patients uses a handheld computer connected to a portable printer, which allows to produce in the ward a label that bears a bar code that encodes the name and surname  
30 of the patient, his date of birth and his hospital identification code.

This label is applied to a bracelet that is worn by the patient.

- At the time of collection for determining the blood group and/or for pretransfusion compatibility tests, the nurse, by using an appropriately provided device included in the handheld computer, reads the bar code on
- 5 the bracelet of the patient and produces labels that bear the same bar code in order to identify the test tubes to be sent to the laboratory and the corresponding request forms.

- The same identifying bar code is then used by the transfusion center to enter the blood requests in the computerized system of the center and to
- 10 print the compatibility labels to be applied to the bags that contain the blood units to be transfused.

- When transfusion is performed, the physician and the nurse, in the vicinity of the patient's bed, use the handheld computer to enter their identification code and read the bar code of the bracelet, the bar code of the
- 15 unit to be transfused and the bar code of the compatibility label.

If the codes match, transfusion begins, otherwise an acoustic alarm is activated.

- At the end of the transfusion, the nurse records his or her identification code, the identification code of the patient and the identification code of the
- 20 compatibility label and prints a final report that includes the type of component, the transfusion time and any adverse events.

A copy of this report is kept in the patient's medical record, while another copy is sent to the transfusion center.

- Differently from the system described above, this system offers the
- 25 advantage of being electronic and at the same time allows to document the transfusion.

- However, it has the disadvantage of not having a physical barrier and of being rather complicated; the bar code in fact must first be applied to the patient's bracelet and this makes the system scarcely practical in emergency
- 30 situations.

Another problem further arises from the fact that sometimes there are difficulties in reading the bar code, both because the label on which it is printed is subject to deterioration, and because in the case of surgery the label is not easy to reach due to the position assumed by the wrist of the patient.

Another system is also known in which identification of the patient is ensured by an electronic chip, known as I-Button, which is inserted in a bracelet of the pediatric type.

A globally unique code, known as PID, is pre-entered in the electronic chip.

Various other items of information, such as for example the identification data of the patient, his hospital identification code, his blood group, and so forth, can be added to the memory of the electronic chip, even at a later time, by using a handheld computer that can be connected circuitally to said chip by means of cables.

When blood is collected for blood group typing and/or pre-transfusion compatibility tests, safety labels are produced by connecting the electronic chip on the bracelet to a printer and to the handheld computer.

These labels are designed to assuredly identify the blood samples intended for the testing laboratory of the transfusion center in order to determine the blood group or for hemocompatibility tests and also to correctly specify the name of the individual in the request forms.

On the request forms, the PID of the patient is provided as a bar code, which is printed by means of the handheld computer and the printer connected thereto.

The blood bags compatible with the patient in turn have an identification code.

The identification code of the bags is then associated with the PID of the patient by entering it, together with said PID, in a preassignment file.

During assignment/delivery, the bag that contains the compatible blood

is placed in a pouch, which is then sealed by means of an electromechanical closure device.

Such closure device is provided with a memory cell in which the PID of the patient and the bag identification code are loaded, and is structured so as  
5 to close only if the code of the bag placed in the envelope matches one of the codes that are present in the preassignment file.

Upon transfusion, the device for closing the pouch is circuitally connected to the chip that is present on the bracelet of the patient and to the handheld computer.

10 If the handheld computer recognizes the match between the PID of the patient and the PID stored in the memory cell of the closure device, said closure device opens, releasing the bag and allowing its transfusion.

All the information related to the performed transfusion (for example its start and end times or any transfusion reactions) are recorded on the  
15 handheld computer and are provided on a label, known as transfusion report, to be attached to the medical record.

The memory cell of the closure device likewise also stores all the events related to the process followed by the bag, such as the date and time of locking and unlocking, incorrect unlocking attempts, and so forth.

20 Finally, the closure device is returned to the transfusion center and its content is downloaded onto a PC, so that the service has at its disposal a transfusion report or event log related to each transfused unit.

The system described above, despite having the advantage of mechanical-electronic locking of the pouches for containing the bags to be  
25 transfused, provided by means of said closure device, is not free from drawbacks.

First of all, it is composed of components that are particularly bulky and for this reason it is scarcely appreciated by operators; the handheld computer, for example, is rather heavy and the printer is separate from the  
30 handheld computer.

Secondly, the system is rendered complicated by the series of cables that connect the handheld computer, the printer, the closure device and the electronic chip on the bracelet of the patient.

Furthermore, the system is often irregular in its operation, since it tends  
5 to jam due to errors of the handheld computer.

For these reasons, it is scarcely used in those hospitals where it has been adopted.

#### Disclosure of the Invention

The aim of the present invention is to eliminate the drawbacks noted  
10 above in known types by providing a positive identification device, particularly for correctly establishing the correlation between a hospital product or patient to be correlated and at least one first correlated hospital product or patient, which allows to ensure a high degree of safety in the allocation of blood or other products to the correct patient, in practice  
15 eliminating the possibility of involuntary identification errors throughout the process for sample collection and analysis, assignment, delivery and administration to the patient of the requested product.

Within this aim, an object of the present invention is to provide a positive identification device that can offer better reliability in operation.

20 Another object of the present invention is to provide a positive identification device that can be effectively used to collect and transfuse blood, to administer antiblastic drugs, for histological tests and laboratory tests and for preventing newborn mix-ups.

Another object of the present invention is to provide a positive  
25 identification device that has a very simple structure and is compact.

Another important object is to provide a positive identification device that is highly durable, easy to use and competitive in terms of manufacturing costs.

Another object of the invention is to provide a positive identification  
30 device that is capable of solving the problem, strongly felt in operating

rooms, of checking whether the instruments used during surgery have not been accidentally left inside the patient after the operation.

This aim, as well as these and other objects that will become better apparent hereinafter, are achieved by a positive identification device 5 particularly for correctly establishing a correlation between a hospital product or patient to be correlated and at least one hospital product or patient that is correlated to the hospital product or patient to be correlated, according to the invention, characterized in that it comprises:

-- first memory means, which can be affixed to said hospital product or 10 patient to be correlated and store a predefined unique identification code for said hospital product or patient to be correlated;

-- second memory means, which can be affixed to said at least one hospital product or patient that is correlated to said first hospital product or patient to be correlated;

15 -- means for remote transfer of data, adapted to download by remote transmission the content of said first memory means into said second memory means.

Advantageously, the device according to the invention comprises 20 comparator means that are adapted to compare the content of said first memory means with the content of said second memory means in order to verify their correlation.

Conveniently, the device according to the invention is provided with a transponder for identifying the hospital product or patient to be correlated, which comprises said first memory means and can be associated with said 25 first hospital product or patient to be correlated, and at least one correlation transponder, which comprises said second memory means and is respectively associable with said at least one correlated hospital product or patient, said remote data transfer means comprising means radio-frequency transponder reading means and radio-frequency transponder programming 30 means.

According to another aspect of the invention, a method is provided for correctly establishing a match between a hospital product or patient to be correlated and at least one hospital product or patient that is correlated to said first hospital product or patient to be correlated, by means of a device  
5 according to the invention, characterized in that it comprises the steps of:

- assigning to said hospital product or patient to be correlated first memory means that store a predefined unique code for identification of said first hospital product or patient to be correlated,
- remotely transmitting and loading the content of said first memory  
10 means into second memory means associated respectively with said at least one correlated hospital product or patient,
- performing a procedure for comparing the content of said first memory means and the content of said second memory means.

Brief description of the drawings

15 Further characteristics and advantages of the invention will become better apparent from the description of a preferred but not exclusive embodiment of a device for positive identification of a patient-recipient, particularly for correctly assigning a hospital product, according to the invention, illustrated by way of non-limitative example in the accompanying  
20 drawings, wherein:

Figure 1 is a perspective view of an identification bracelet provided with an identification transponder;

Figure 2 is a view of a blood bag provided with a correlation transponder;

25 Figure 3 is a view of a test tube to which a correlation transponder is applied;

Figure 4 is a view of a scalpel provided with an identification transponder;

30 Figure 5 is a perspective view of a container for the scalpel of Figure 4, said container being provided with a correlation transponder;

Figure 6 is a schematic perspective view of a card provided with an identification transponder;

Figure 7 is a schematic view of a request form provided with a correlation transponder;

5      Figure 8 is a front elevation view of a processor-equipped device;

Figure 9 is a perspective view of the printing means;

Figure 10 is a side view of a computer of the portable type connected to USB and IrDA interfaces:

10     Figure 11 is an enlarged-scale view of a detail of the bottom portion of a test tube, similar to the one of Figure 3, in which a correlation transponder is inserted;

Figure 12 is a side view of a processor-equipped device provided with a seat that engages the bottom portion of a test tube similar to the one of Figure 3;

15     Figure 13 is a view of networked computers;

Figure 14 is a general block diagram of the processor-equipped device of Figure 8;

Figure 15 is a view of a correlation bracelet provided with a correlation transponder.

20     In the examples of embodiment that follow, individual characteristics, given in relation to specific examples, may actually be interchanged with other different characteristics that exist in other examples of embodiment.

#### Ways to carrying out the Invention

With reference to the figures, a positive identification device, particularly for correctly establishing the correlation between a hospital product or a patient to be correlated and at least one hospital product or patient that is correlated to the hospital product or patient to be correlated, according to the invention, generally comprises first memory means that are designed to be assigned to the hospital product or patient to be correlated.

30     The positive identification device according to the invention further uses

second memory means, which are designed respectively to be assigned and affixed to one or more hospital products or patients that are correlated to the hospital product or patient to be correlated.

Also according to the invention, remote data transfer means are provided  
5 which in practice are designed to transmit remotely and write in the second memory means the data recorded in the first memory means, so as to create an information link between the hospital product or patient to be correlated and the hospital products or patients that are correlated thereto.

By way of the remote data transfer means, the positive identification  
10 device according to the invention is therefore capable of assigning to the correlated hospital products or patients the same information that is associated with the hospital product or patient to be correlated and is stored in the first memory means, by loading the information also in the second memory means.

15 Conveniently, the remote data transfer means are also suitable to remotely transmit the content of the second memory means to the first memory means; this allows to download into the first memory means the data recorded in the second memory means.

Advantageously, the positive identification device according to the  
20 invention is further provided with comparator means, which are adapted to compare the content of the first memory means with the content of the second memory means in order to check their match.

This allows to perform cross-identification operations that ascertain the  
existence of a correlation between the hospital product or patient to be  
25 correlated and the correlated hospital products or patients.

Broadly speaking, a hospital product to be correlated is any product used  
in the hospital sector, such as an instrument, an apparatus, a document, a  
drug, that requires, as part of a process performed within a health facility, to  
be associated with, or related to, another hospital product or a patient who is  
30 a resident of the health facility.

Any hospital product, included for example among the ones mentioned above, which must be allocated or at least traceable to a specific hospitalized patient or to another hospital product is instead to be considered as a correlated hospital product.

- 5 In detail, a hospital product can be for example a test tube, such as the one designated by the reference numeral 7 in Figure 3, which contains a liquid to be analyzed in a laboratory, such as blood collected from a patient, a bag 6 (shown in Figure 2) that contains for example blood to be infused to a patient-recipient, an antiblastic preparation or a drug to be administered, a  
10 request form (designated by the reference numeral 5 in Figure 7), for example to request preparations and/or drugs or laboratory tests, a report that contains the results of laboratory tests, for example, on the blood group of a patient, a surgical instrument such as forceps, a scalpel 25 (shown in Figure 5), a pair of scissors, a Klemmer forceps, a dressing, such as a  
15 bandage or gauze, a box 8 (for example of the type shown in Figure 4) that is adapted to accommodate a corresponding surgical instrument, any container intended to store any of the above cited hospital products.

- The expression "patient to be correlated" designates any guest of a health facility who must be associable with one or more hospital products or other  
20 patients, such as for example a patient who is candidate for a transfusion or for the administration of a specific drug, or blood donor or a mother hospitalized in an obstetrics ward; a correlated patient, instead, can be for example a newborn, who must be matchable with full safety with the corresponding mother.

- 25 The first memory means have the particularity of storing a predefined unique code for identifying the hospital product or patient to be correlated with which they are associated; such code is not modifiable and is preferably pre-entered during their manufacture.

- In particular, the first memory means can be supported by an  
30 identification bracelet 3a or a card 4, to be given to a patient to be

correlated, or can be affixed, by way of suitable coupling means, to a hospital product to be correlated.

Likewise, the second memory means can be supported by a correlation bracelet 3b, which is constituted for example by a strap (as shown in Figure 5 15) that can be worn by a correlated patient, or can be affixed directly to a correlated hospital product.

The important particularity of the remote transfer means resides in that they do not entail any physical or direct contact between said means and the first and second memory means respectively, or directly between the first 10 and second memory means.

In particular, the remote transfer means operate by radiofrequency.

In practice, they can transfer information by means of radio waves, for example in the range substantially comprised between 125 kHz and 134 kHz ('low' frequency) or at frequencies substantially around 13.56MHz ('high' 15 frequency) or also in the frequency range substantially comprised between 868 and 2450 MHz (high frequency UHF/microwaves).

Advantageously, the first memory means store not only the predefined unique identification code of the hospital product or patient to be correlated but are also adapted to store additional data related to the hospital product 20 or patient to be correlated.

Such means can also store data related to the correlated hospital product or products or patient or patients.

A particular aspect of the positive identification device according to the invention resides in that it uses specific transceiver devices, known as 25 transponders, which are per se known and commercially available and are constituted by passive electronic circuits provided with at least one memory unit.

An important advantage of this constructive choice is constituted by the fact that the transceiver devices, described in greater detail hereinafter, are 30 marked and rendered uniquely identifiable by manufacturers by means of a

preset non-modifiable unique code that is stored in their memory unit.

In greater detail, an identification transponder 1 for the hospital product or patient to be correlated, designed to be assigned to the hospital product or patient to be correlated, and at least one correlation transponder 2, designed  
5 to be assigned to a respective correlated hospital product or patient, are used.

More particularly, the first memory means and the second memory means cited above are constituted respectively by the memory unit of said identification transponder 1 and of said correlation transponder or  
10 transponders 2.

Even more particularly, and as mentioned above, a preset non-modifiable unique code is conveniently pre-entered by the manufacturer of the transponder and constitutes the predefined unique identification code for the hospital product or patient to be correlated.

15 Advantageously, as mentioned above regarding the first memory means, it is possible to load into the memory unit of the identification transponder 1 additional data related to the hospital product or patient to be correlated.

If the identification transponder 1 is assigned to a patient to be correlated, such additional data consist of specific information for more  
20 precise identification of the patient to be correlated, such as for example his personal details or other data, such as his clinical condition, the result of certain laboratory tests, the type of request or sample collected, the date, name or code of the sample collector, and so forth.

Conveniently, as shown in Figures 1 and 6, the identification transponder  
25 1 can be affixed to an identification bracelet 3a, for example of the pediatric disposable type, or to a card 4 if it is designed to be assigned to a patient to be correlated, or can be affixed directly in any suitable manner to a hospital product to be correlated.

Equivalently, the correlation transponder or transponders 2 can be  
30 monolithically associated with a correlation bracelet 3b, to be assigned to a

respective correlated patient, or can be coupled directly to a respective correlated hospital product.

Advantageously, it is possible to provide affixing means for the identification transponder 1 and for the correlation transponders 2, which  
5 are constituted for example by adhesive labels or Smart Labels, which allow convenient and safe anchoring of the identification transponder 1 and of the correlation transponders 2 to a hospital product to be correlated and to the correlated hospital products, respectively.

In particular, the adhesive labels allow to apply with absolute simplicity  
10 the identification transponder 1 and more particularly, as shown by Figures 2, 3 and 4, the correlation transponders 2 to documents, such as request forms 5, or to containers, such as the bags 6, for example for blood or drugs, the test tubes 7, the boxes 8 for surgical instruments.

Preferably, the identification transponder 1 and the correlation  
15 transponders 2 are constituted by circuits that are compatible with ISO 15693, ISO 14443 and/or ISO 18000, in which a predefined unique identification code has been introduced at the factory or by the distributor.

More preferably, they can be constituted by proprietary chips manufactured by I-Code, TagIt, Gemwave Folio, and so forth.

20 It is also possible to provide containers that already include the identification transponder 1 or the correlation transponders 2, as in the case of test tubes 7 made of glass or other material, in the bottom of which it is possible to insert one of said chips, during manufacture, as shown in Figure 11.

25 Finally, it should be noted that it is preferable for the identification transponder 1 and the correlation transponders 2 to be disposable, i.e., easy to dispose of, for example together with the identification containers or bracelets 3a, or correlation containers or bracelets 3b, to which they are affixed, after performing the procedure for assigning correlated hospital  
30 products or patients to the hospital product or patient to be correlated.

Conveniently, the remote data transfer means use at least one processor-equipped device 9, which comprises, in a box-like containment structure 9a, processor-equipped control means 10, which are meant in general to supervise its operation, and at least one memory 11.

5 Advantageously, the processor-equipped device 9 is further provided with radiofrequency transponder reading means 12 and with radiofrequency transponder programming means 13, functionally connected to the processor-equipped control means 10.

The radiofrequency transponder reading means 12 and the  
10 radiofrequency transponder programming means 13 allow the processor-equipped device 9 respectively to access the data stored in the memory unit of the identification transponder 1 and in the memory unit of the correlation transponder 2 (in other words, in the first and second memory means) and to load into the memory units the data meant to be stored therein, during the  
15 various steps of the hospital processes in which the use of the positive identification device according to the invention is suggested.

Advantageously, the processor-equipped device 9 also has data input means 14, constituted for example by a keypad 15, by means whereof the hospital staff can enter the data that must be transmitted to the identification  
20 transponder 1 or to the correlation transponders 2 and must be stored in the first and/or second memory means.

Conveniently, the processor-equipped control means 10 are further functionally connected to display means 16, constituted for example by a monitor 17 of any suitable type and are suitable to display the content of the  
25 first memory means and of the second memory means read by the radiofrequency transponder reading means 11.

According to an important aspect of the invention, the comparator means are associated with the processor-equipped device 9.

In this regard, the processor-equipped device 9 can be programmed so  
30 that the processor-equipped control means 10 are capable of performing a

comparison between the content of the first memory means and the content of the second memory means and more precisely between the data stored in the second memory means and the predefined unique identification code stored in the first memory means.

5 Advantageously, the processor-equipped device 9 is further provided with signaling means 18 that are adapted to signal the match, verified as described above by the control and processing means 10, between the data contained in the second memory means and the predefined unique identification code, in order to allow positive ascertainment of the  
10 correlation between the hospital product or patient to be correlated and the respective correlated hospital products or patients, during operations for assigning/allocating the latter to the hospital product or patient to be correlated.

In particular, the signaling means 18 can use acoustic and/or luminous  
15 signaling devices, not shown.

The processor-equipped device 9 can be conveniently connected to printing means 19, which are constituted for example by a printer 20, preferably of the thermal type on rollers, preferably adhesive rollers, so as to allow to produce adhesive labels that bear the data stored in the first and/or  
20 second memory means to be applied for example to containers such as the bags 6 or the test tubes 7 or to the request forms 5.

The printing means 19 or optionally the processor-equipped device 9 can be conveniently provided with a seat 21, which accommodates radiofrequency transponder reading means 11 and in which it is possible to  
25 insert a test tube, for example of the type designated by the reference numeral 7 in Figure 3, which is provided with a correlation transponder 2, so that as a consequence of the insertion of the test tube 7 the printing means 18 automatically produce a preset number of adhesive labels bearing the data stored in the correlation transponder 2.

30 It is fully obvious that if an identification transponder 1 is applied to the

test tube 7 instead of a correlation transponder 2, the data printed by the printing means 19 upon insertion of the test tube 7 in the seat 21 are the data stored in the memory of the identification transponder 1.

Advantageously, the processor-equipped device or devices 9 can be  
5 provided with means 22 for interfacing with at least one computer 23, such  
as a conventional personal computer, even a portable one, as shown in  
Figure 10, in order to allow them to communicate and exchange  
information, for example, with the computers 23, which are commonly  
connected in a network, such as the ones of Figure 13, with which for  
10 example a ward of the hospital, a transfusion center or a testing laboratory  
can be for example equipped.

Merely by way of example, the interfacing means 22 can operate by  
infrared rays or by means of a USB interface, so that the computers 23 are  
provided with, or associated with, USB and IrDA interfaces 23a, as shown  
15 in Figure 10.

Furthermore, the computers 23 can be equipped with software for  
managing the processor-equipped device or devices 9 and with software for  
managing the data downloaded from them, which in this manner can be  
used for internal statistics and reports.

If connected in a local network and if connected to a database of  
20 hospitalized patients used within the hospital, computers 23 can be used for  
preventive transfer, onto the processor-equipped device or devices 9, of the  
information related to the patients to be correlated or to the correlated  
patients, said information being thus simply retrieved from said database  
25 instead of being entered manually when the various processes begin.

Preferably, the processor-equipped devices 9 are provided with an  
independent power supply and have a limited weight, so that they can be  
transported easily.

For example, processor-equipped devices 9, constituted by conventional  
30 computers of the handheld type (PDT) and preferably by handheld

computers operating on the PalmOS, PocketPC or Windows CE platforms, provided with RF interface, which supports the radiofrequency transponder reading means 12 and the radiofrequency transponder programming means 13, and provided with a memory 11 of the Flash type with a capacity of at 5 least 2 Mb, have been found to be particularly suitable for the contingent application for storing all the data transactions performed with the identification transponder 1 or with the correlation transponder 2 and/or with the computers 23.

Conveniently, the processor-equipped devices 9 are equipped with 10 software developed in order to allow management of the various steps of the processes, in which it is necessary to read, write and compare the data contained in the first and/or second memory means.

The processor-equipped devices 9 may furthermore be equipped with encryption/decryption means 24, which are adapted to encrypt and decrypt 15 one or more data items that are present in the first and/or second memory means, so that said second memory means, in compliance with privacy laws and laws covering sensitive data, do not contain data in plaintext, i.e., unencrypted and therefore directly readable. Data encryption ensures, moreover, the reliability and consistency of the data item stored in the 20 transponder, since it certifies its origin and verifies that it has not been altered accidentally during the various processes.

Some possible applications of the device according to the invention are described hereinafter, particularly its application in processes for collecting 25 donated blood, processes for transfusing blood, processes for administering antiblastic drugs, histological tests and laboratory tests and neonate identification.

In the case of processes for collecting donated blood, a patient to be correlated, who in this case is a blood donor, is provided with a card 4, which bears an identification transponder 1 provided with the first memory 30 means, which contain: the predefined unique identification code of the first

patient, which in this case is constituted by a preset sequential code for identifying the donor, the identification data of the first patient, and his blood group.

After admission, during collection, the nursing staff, by means of a processor-equipped device 9, loads the information contained in the identification transponder 1 into a correlation transponder 2 located on a correlated hospital product constituted by a bag 6, which is filled with the blood donated by the patient to be correlated.

The bag 6 that contains the donated blood is thus linked to the donor with absolute safety, without the need to provide other identifying indications in plaintext.

Then, the blood contained in the bag 6 is subjected to normal operations for fraction separation, and the resulting blood fractions are then placed in respective bag-like containers, not shown.

At least the bag-like container intended to receive the red cells is provided with a second correlation transponder 2, in which, again by means of a processor-equipped device 9, the information stored on the correlation transponder 2 applied to the donated blood bag 6 are loaded.

Once the laboratory tests prescribed by the law have been performed as standard, the results of the laboratory tests (group, Rh, serological tests) are transferred into the correlation transponder 2 placed on the donated blood bag 6, by way of the data input means 14 and the radiofrequency transponder programming means 13 of a processor-equipped device 9.

The physician, after signing for approval the laboratory tests, is able to read their results, loaded in the correlation transponder 2 on the donated blood bag 6 by means of the radiofrequency transponder reading means 11 of a processor-equipped device 9.

By virtue of the printing means 19 it is possible to produce a label that accurately reproduces the information regarding the donor and the laboratory data without the risk of swapping or mistakes in transcription.

It is then possible to enter in the memory unit of the correlation transponder 2 placed on the donated blood bag 6 the data related to the recipient patient to whom the bag 6 will be assigned.

In case of a blood transfusion process, the patient to be correlated is 5 instead a candidate for transfusion, to whom an identification bracelet 3a is given; said bracelet is of the disposable pediatric type and is provided with a correlation transponder 1, in which the predefined unique identification code is pre-entered.

In this manner, the recipient of the transfusion can be identified with 10 absolute assurance even if his personal identification data are not known.

At any time during the process, by using a processor-equipped device 9 it is possible to load on the identification transponder the additional data related to the patient to be correlated, such as for example his surname, name, date of birth, sex, hospital identification code, and so forth.

15 If the processor-equipped device 9 is provided with said interfacing means 22 and the health facility has a local network (LAN) for data exchange, advantageously the additional data related to the patient to be correlated can be input into the identification transponder 1 also by means of a computer 23 located within the ward.

20 By means of the processor-equipped device 9, when blood is collected for pre-transfusion compatibility tests, the identifying information of the patient to be correlated is transferred from the identification transponder 1 to a correlation transponder 2, which is connected to a test tube 7 that is designed to contain the blood sample.

25 Since this transfer occurs at collection time, one avoids the risk of making mistakes in identifying the sample, even if there are no written indications on the test tube 7.

The blood sample, together with the associated request for testing, is sent to the transfusion center.

30 The test tube 7 is inserted here in the appropriately provided seat 21 of

the printing means 19 in order to generate one or more identification labels, which are used to mark the test tube 7, any further test tubes derived from the first one, and a request form 5 for the analyses to be performed.

After performing the usual pretransfusion compatibility tests with the 5 blood in the test tube 7, bags 6 that contain compatible donated blood are selected, and a respective correlation transponder 2 is applied to each one of said bags.

By using a processor-equipped device 9, the information is remotely transferred from the correlation transponder 1 of the test tube 7 to the 10 respective second correlation transponders 2 of the compatible blood bags 6.

This produces a link of information between one or more correlated hospital products, i.e., the compatible blood bag or bags 6, and the patient to be correlated for whom they are intended (to protect privacy and data reliability, this information can be encrypted by means of said 15 encryption/decryption means 24).

Each bag 6 intended for transfusion is assigned to the corresponding recipient by placing the bag in a plastic pouch together with the provided accompanying form.

This pouch is appropriately sealed so that it cannot be used unless one 20 first verifies the match between the data stored in the memory unit of the identification transponder 1 assigned to the patient to be correlated and the data in the memory unit of the correlation transponder 2 rigidly coupled to the bag 6 that contains the compatible blood to be transfused.

At transfusion time, the assigned nursing staff, by using a processor- 25 equipped device 9 optionally enabled for use by entering an operator identification code, reads the data of the identification transponder 1 and compares them with the data contained in the correlation transponder 2 of the bag 6.

If the data match, the signaling means 18 of the processor-equipped 30 device 9 emit an acoustic and/or visual signal and the processor-equipped

device 9 records in memory the data item indicating successful recognition.

At this point, the envelope can be opened and the blood contained in the bag can be transfused.

The nursing staff assigned to transfusions, by using the data entry means 5 14, enters in the memory 11 of the processor-equipped device 9 information related to the performed transfusion, such as the transfusion start and end times, parameters for the monitoring of the patient to be correlated, and any unwanted effects caused by the transfusion.

By way of the interfacing means 22, the information related to the 10 performed transfusion can be downloaded into the computers 23 of the ward, by way of which it is possible to produce documents to be placed in the medical record of the patient to be correlated and/or to be sent to the transfusion center, such as for example the transfusion report.

The process for administering drugs, for example antiblastic drugs (or 15 also other drugs), performed by using the positive identification device according to the invention entails assigning to a patient to be correlated, who is to receive the drug to be administered, an identification bracelet 3a equipped with an identification transponder 1 or, if the patient is being treated in a surgery/day hospital, a card 4, again provided with an 20 identification transponder 1.

The nursing staff loads the full identification data of the patient to be correlated in the identification transponder 1, using a processor-equipped device 9.

Again by using the processor-equipped device 9, the information loaded 25 in the memory unit of the identification transponder 1 is remotely transferred to the memory unit of a correlation transponder 2 that is attached to a request form 5, which is normally generated in order to request preparation of the drug.

When the request form 5 reaches the dispensary, a processor-equipped 30 device 9 is used to read the content of the memory unit of the correlation

transponder 2, and a safety label (checkpoint) is generated by virtue of printing means 19 connected thereto.

Once the drug has been prepared, it is stored in a container provided with another correlation transponder 2, to which a processor-equipped device 9  
5 remotely transmits the information stored in the correlation transponder 1 located on the request form 5.

This produces a link of information between the correlated hospital product, which in this case is a drug, and the patient to be correlated, for whom said drug is intended (to protect privacy and data reliability, this  
10 information can be encrypted by way of the encryption/decryption means).

Once the operations for remote transfer of the information in the correlation transponder 2 associated with the drug have been performed, said drug is introduced in a clear plastic bag, which is sealed with a strap.

When the drug is to be administered, the match of the identification is  
15 checked by means of the processor-equipped device 9.

If the signaling means 18 emit an acoustic or visual signal confirming the match between the drug and the patient to be correlated, the bag is opened and the drug is infused.

The nursing staff then records in the memory 11 of a processor-equipped  
20 device 9 the start and end times of the infusion and any unwanted effects.

It is optionally possible to download this information from the processor-equipped device 9 to a ward computer 23 in order to allow full event logging.

As regards the possibility to use the positive identification device  
25 according to the invention during the steps for performing laboratory tests, for example on blood samples or histological specimens, a patient to be correlated who is being tested is given a card 4 or an identification bracelet 3a provided with an identification transponder 1, and the data stored therein are remotely transferred, by means of a processor-equipped device 9, into a  
30 correlation transponder 2 provided on a test tube 7, in the case of blood

tests, or on a container for histological specimens in the case of histological tests.

Once the tests have been performed, a report is usually generated and is assigned to the corresponding patient to be correlated by reading his  
5 identification data stored in the correlation transponder 1 associated with the sample or specimen.

The report is then sent to the ward or given to the patient and included in the medical record.

By using a processor-equipped device 9, significant data of the exams  
10 performed, such as for example the blood group, can be remotely transferred to the identification transponder 1.

The positive identification device according to the invention can be advantageously used also in obstetrics; in this case, it is particularly useful to allow correct assignment of newborns to their respective mothers.

15 It is in fact possible for example to assign an identification bracelet 3a provided with an identification transponder 1 to the mother (who is the patient to be correlated) and at the same time assign to her newborn or newborns (who instead constitute the correlated patient or patients) a respective correlation bracelet 3a of the pediatric type provided with a  
20 correlation transponder 2.

The invention finally allows to solve the strongly felt problem of control of surgical instruments or dressings in the operating room.

In this case, a hospital product to be correlated, constituted by a surgical instrument, such as for example a scalpel 25 (but also a forceps, a pair of  
25 scissors or Klemmer forceps) or by gauzes, swabs or the like, is provided with an identification transponder 1 and a correlated product, constituted by the container of the product to be correlated, such as for example the box 8 of Figure 4, is assigned a correlation transponder 2 in which the data contained in the identification transponder 1 are remotely transferred by  
30 means of a processor-equipped device 9.

25

In this manner it is possible to easily check that the surgical instruments and waste materials, such as gauzes or swabs, are all in their respective containers and are not inside the patient undergoing the operation.

In practice it has been found that the invention has achieved the intended  
5 aim and objects in all its embodiments.

The invention thus conceived is susceptible of numerous modifications and variations, all of which are within the scope of the appended claims.

In practice, the materials used, as well as the contingent shapes and dimensions, may be any according to requirements.

10 All the details may further be replaced with other technically equivalent elements.

The disclosures in Italian Patent Application No. VR2002A000112 from which this application claims priority are incorporated herein by reference.

CLAIMS

1. A positive identification device particularly for establishing correctly a correlation between a hospital product or patient to be correlated and at least one hospital product or patient that is correlated to said hospital product or 5 patient to be correlated, characterized in that it comprises:
  - first memory means, which can be affixed to said hospital product or patient to be correlated and store a predefined unique identification code for said hospital product or patient to be correlated;
  - second memory means, which can be affixed respectively to said at 10 least one hospital product or patient that is correlated to said hospital product or patient to be correlated;
  - means for remote transfer of data, adapted to download by remote transmission the content of said first memory means into said second memory means.
- 15 2. The device according to claim 1, characterized in that it comprises comparator means that are adapted to compare the content of said first memory means with the content of said second memory means in order to verify correlation thereof.
- 20 3. The device according to one or more of the preceding claims, characterized in that said means for remote data transfer are adapted to download, by remote transmission, the content of said second memory means into said first memory means.
- 25 4. The device according to one or more of the preceding claims, characterized in that said first memory means are adapted to store additional data related to said hospital product or patient to be correlated.
5. The device according to one or more of the preceding claims, characterized in that said first memory means are adapted to store data related to said at least one correlated hospital product or patient.
- 30 6. The device according to one or more of the preceding claims, characterized in that said remote data transfer means operate via

radiofrequency.

7. The device according to one or more of the preceding claims, characterized in that it comprises a transponder for identifying the hospital product or patient to be correlated, which comprises said first memory means and is associable with said first hospital product or patient to be correlated, and at least one correlation transponder, which comprises said second memory means and is respectively associable with said at least one correlated hospital product or patient, said remote data transfer means comprising radiofrequency transponder reading means and radiofrequency transponder programming means.

8. The device according to one or more of the preceding claims, characterized in that it comprises at least one processor-equipped device, said at least one processor-equipped device comprising said radiofrequency transponder reading means and said radiofrequency transponder programming means.

9. The device according to one or more of the preceding claims, characterized in that said at least one processor-equipped device comprises said means and signaling means, which are functionally connected to said comparator means and are adapted to report the match between the content of said first memory means and the content of said second memory means.

10. The device according to one or more of the preceding claims, characterized in that said at least one processor-equipped device comprises data entry means.

11. The device according to one or more of the preceding claims, characterized in that said data entry means comprise a keyboard.

12. The device according to one or more of the preceding claims, characterized in that said at least one processor-equipped device comprises printing means.

13. The device according to one or more of the preceding claims, characterized in that said at least one processor-equipped device comprises

display means that are adapted to display the content of said first memory means and of said second memory means.

14. The device according to one or more of the preceding claims, characterized in that said processor-equipped device comprises means for 5 interfacing with at least one computer.

15. The device according to one or more of the preceding claims, characterized in that said processor-equipped device comprises encryption/decryption means that are adapted to encrypt/decrypt the content of said first memory means and of said second memory means.

10 16. The device according to one or more of the preceding claims, characterized in that said identification transponder is supported by an identification bracelet or by a card.

15 17. The device according to one or more of the preceding claims, characterized in that said at least one correlation transponder is provided with means for affixing to a container of said at least one correlated hospital product.

18. The device according to one or more of the preceding claims, characterized in that said affixing means comprise an adhesive label.

20 19. The device according to one or more of the preceding claims, characterized in that said at least one second transponder is integrated in said container.

20 20. The device according to one or more of the preceding claims, characterized in that said first transponder and said at least one second transponder are disposable.

25 21. A method for correctly establishing a match between a hospital product or patient to be correlated and at least one hospital product or patient that is correlated to said first hospital product or patient to be correlated, by means of a device according to one or more of claims 1-20, characterized in that it comprises the steps of:

30 -- assigning to said hospital product or patient to be correlated first

memory means that store a predefined unique code for identification of said first hospital product or patient to be correlated,

-- remotely transmitting and loading the content of said first memory means into second memory means associated respectively with said at least one correlated hospital product or patient,

-- performing a procedure for comparing the content of said first memory means and the content of said second memory means.

22. Method according to claim 21, characterized in that it provides, between said assignment step and said remote transmission step, a step for remote loading of additional data related to said hospital product or patient to be correlated in said first memory means.

23. The method according to claim 21 or 22, characterized in that said remote transmission step and said remote loading step are performed via radiofrequency.

24. The method according to one or more of claims 21 to 23, characterized in that it comprises a step for encryption of the data contained in said first memory means and in said second memory means and a step for decryption of the data encrypted in said encryption step.

25. The method according to one or more of claims 21 to 24, characterized in that it comprises a step for downloading the content of said first memory means or of said second memory means into at least one computer, which is connected over a network to a database of hospitalized patients.

10/533455

WO 2004/042677

CT/EP2003/011823

1/4

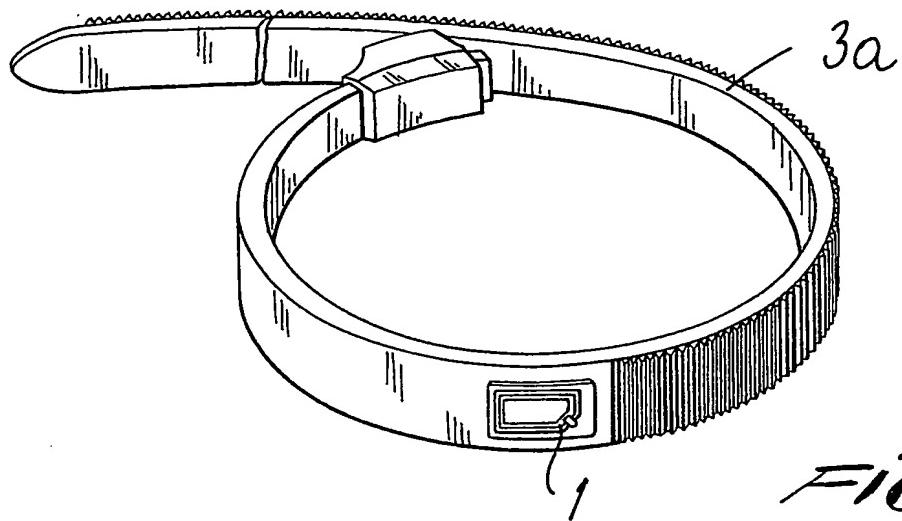


Fig. 1

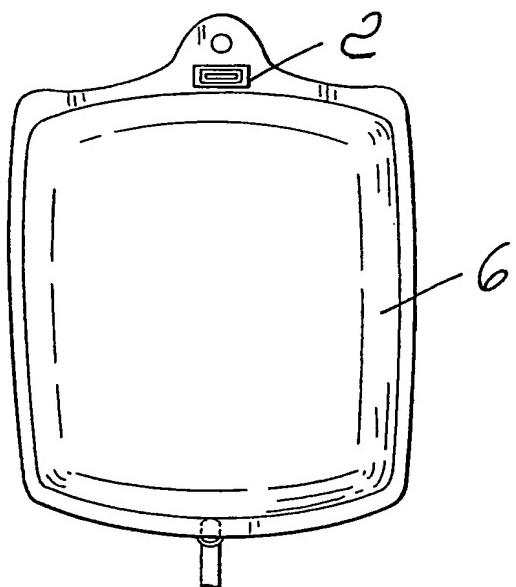


Fig. 2

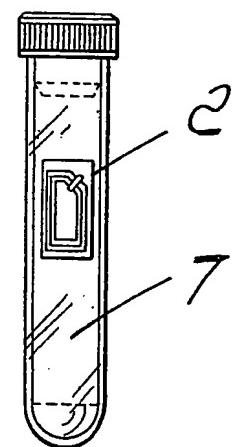


Fig. 3

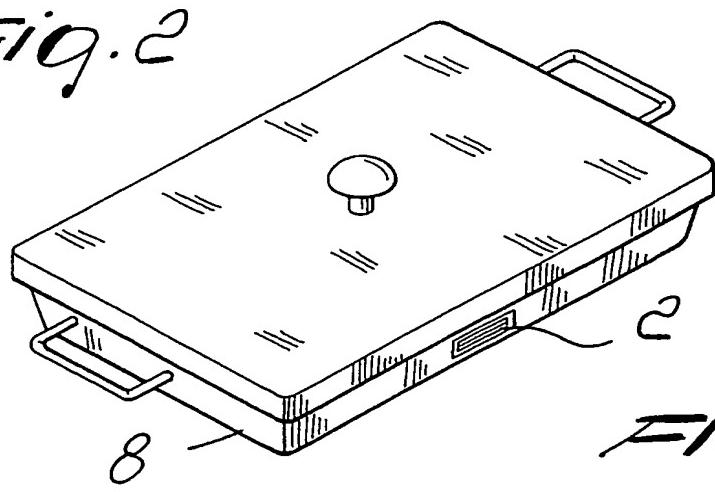


Fig. 5

2/4

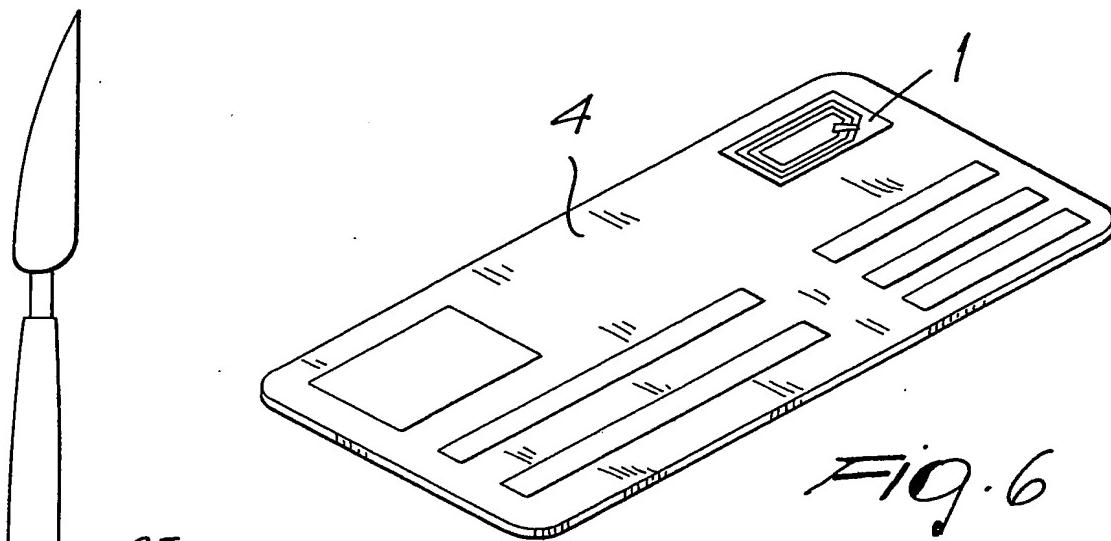


FIG. 6

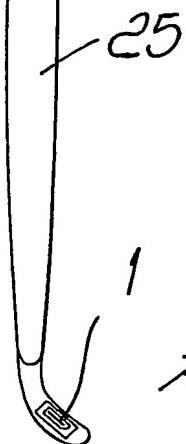


FIG. 4

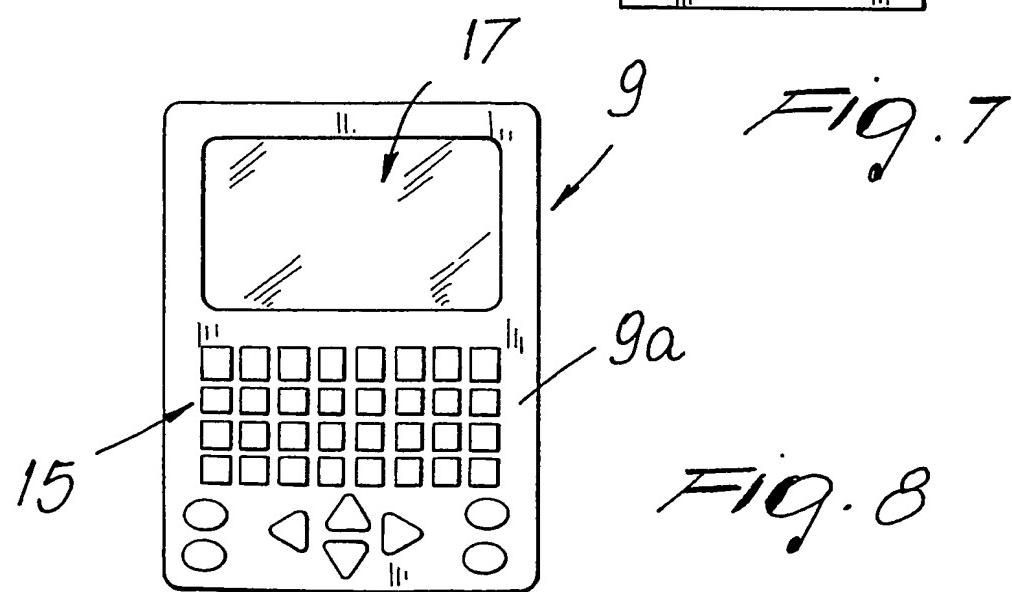
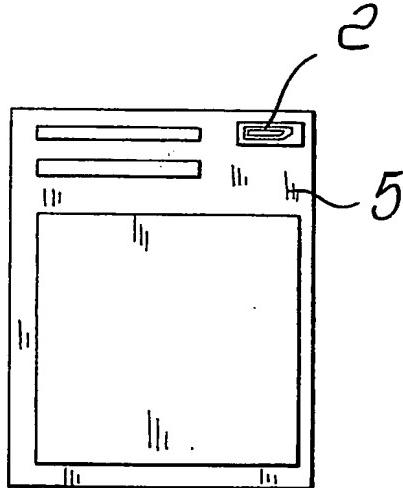


FIG. 7

FIG. 8

3/4

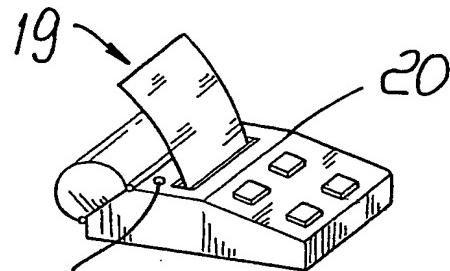


Fig. 9

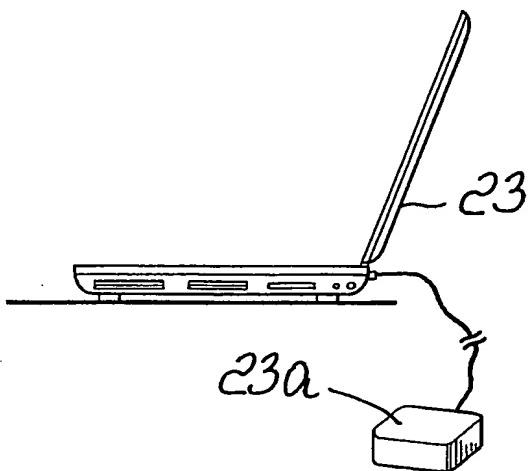


Fig. 10

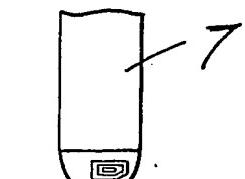


Fig. 11

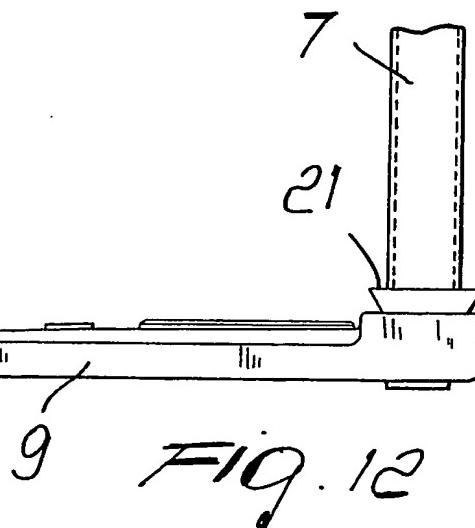
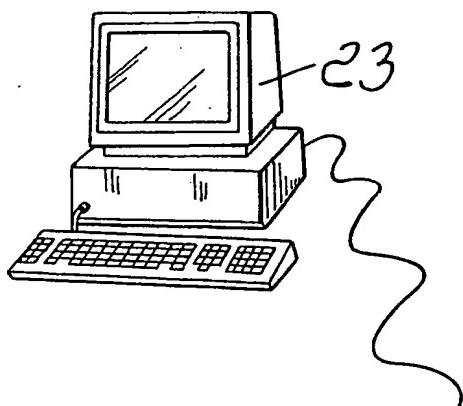


Fig. 12

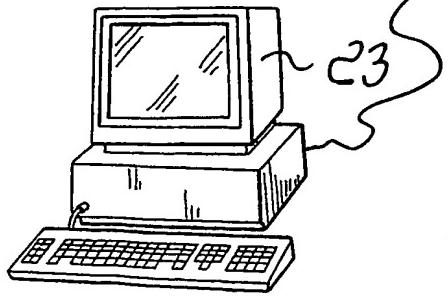


Fig. 13

4/4

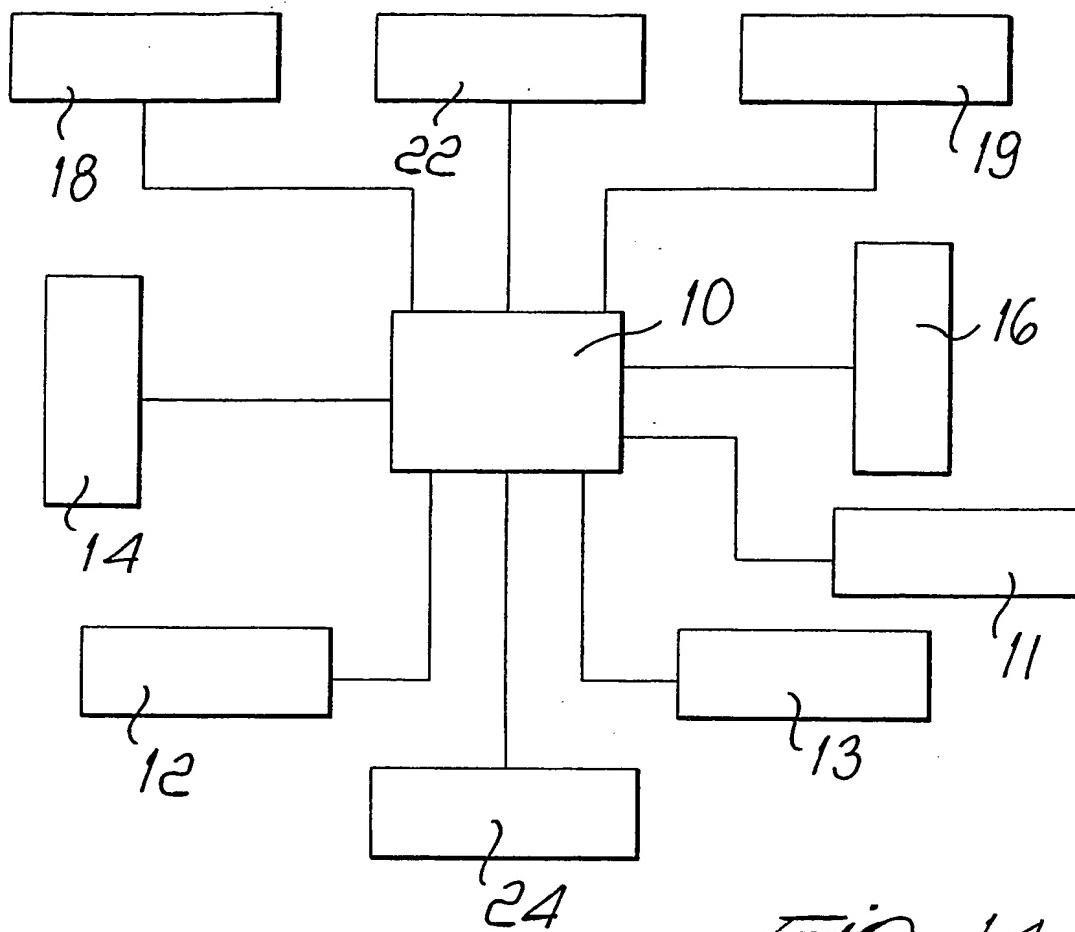


FIG. 14

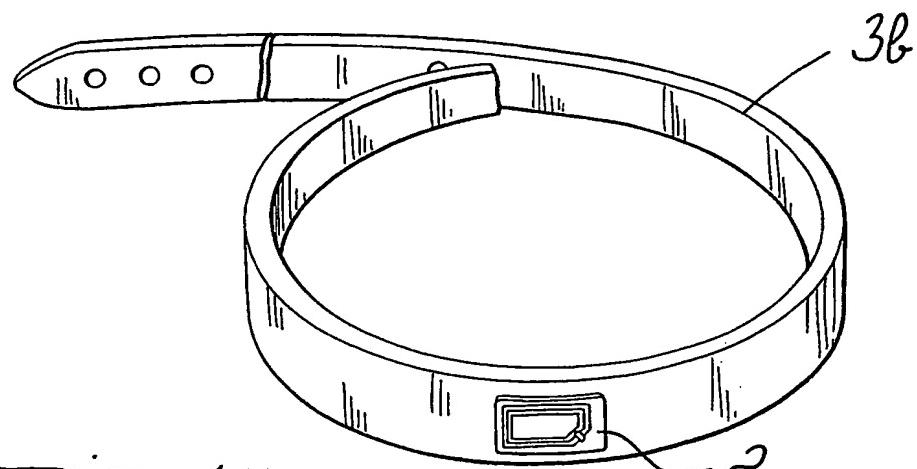


FIG. 15

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/EP 03/11823A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 G09F3/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 G09F A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	PATENT ABSTRACTS OF JAPAN vol. 2002, no. 07, 3 July 2002 (2002-07-03) & JP 2002 083063 A (TECHNO MEDICA CO LTD), 22 March 2002 (2002-03-22) abstract -----	1-25

 Further documents are listed in the continuation of box C. Patent family members are listed in annex.

## \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search

17 February 2004

Date of mailing of the international search report

25/02/2004

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax: (+31-70) 340-3016

Authorized officer

Demolder, J

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International Application No

PCT/EP 03/11823

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
JP 2002083063	A 22-03-2002	NONE	